# Penochalasins, a novel class of cytotoxic cytochalasans from a *Penicillium* species separated from a marine alga: structure determination and solution conformation

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A novel class of cytochalasans, penochalasins A 1, B and C, have been isolated from a strain of *Penicillium* sp. originally separated from the marine alga *Enteromorpha intestinalis*, and their stereostructures have been established on the basis of NMR spectral analyses and chemical transformations. Different conformations of 1 in CDCl<sub>3</sub> and  $[^{2}H_{5}]$ pyridine were determined by analysis of NMR data and molecular modelling. All the compounds exhibited potent cytotoxicity against cultured P388 cells.

Marine microorganisms are potentially a prolific source of highly bioactive secondary metabolites for the development of new pharmaceutical agents. As part of a programme to discover new antitumour metabolites produced by marine microorganisms, we previously reported that antitumour and cytotoxic compounds were produced by microorganisms originally isolated from the marine fish Halichoeres bleeki1 and Pseudolabrus japonicus,<sup>2,3</sup> and the marine algae Sargassum tortile<sup>4-7</sup> and Enteromorpha intestinalis,<sup>8</sup> and their structures were established. Two of them, communesins A and B, were structurally unique cytotoxic metabolites of a strain of Penicillium sp. isolated from E. intestinalis.8 Further investigation of metabolites from this fungal strain led to the isolation of three new cytochalasans, designated penochalasins A 1, B 2 and C 3, together with known chaetoglobosins A  $4^{9-12}$  and F 5,  $^{10,12,13}$  all of which showed potent cytotoxic activity in the P388 lymphocytic leukaemia test system in cell cultures. Chemically the cytochalasans are characterized by a highly substituted perhydroisoindol-1-one fused with a macrocyclic ring, which is a carbocycle, a lactone or a carbonate. A number of cytochalasans have been isolated and classified into several groups according to their structural features.<sup>14</sup> NMR analyses using heteronuclear multiple-bond connectivity (HMBC) and nuclear Overhauser enhancement spectroscopy (NOESY) experiments and chemical correlations revealed that penochalasins A-C, 1-3, are a new class of cytochalasans with a macrocyclic ring including a pyrrole moiety. We report herein the stereostructures and cytotoxic activities of compounds 1-3 and the solution conformation of 1.

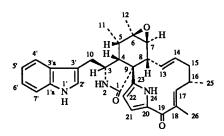
# **Results and discussion**

The fungal strain was cultured at 27 °C for 3 weeks in a medium containing 2% glucose, 1% peptone and 0.5% yeast extract in artificial seawater. The MeOH extract of the mycelium was purified by bioassay-directed fractionation employing a combination of Sephadex LH-20 and silica gel column chromatographies and high-performance liquid chromatography (HPLC) to afford penochalasins A 1, B 2 and C 3, and chaetoglobosins A 4 and F 5. The last two have previously been isolated as metabolites of *Chaetomium* sp.,<sup>9-11,13</sup> but this is the first report of their occurrence as metabolites of *Penicillium* sp.

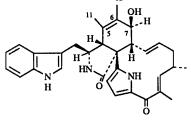
Penochalasin A 1 had the molecular formula  $C_{32}H_{35}N_3O_3$ established by high-resolution electron impact mass spectrometry (HREIMS). Its IR spectrum contained absorption bands at 3359, 1690 and 1625 cm<sup>-1</sup>, characteristic of NH, a carbonyl group and an aromatic ring. A close inspection of the <sup>1</sup>H and <sup>13</sup>C NMR spectra of 1 in CDCl<sub>3</sub> (Table 1) by distortionless enhancement by polarization transfer (DEPT) and <sup>1</sup>H-<sup>13</sup>C correlation spectroscopy (COSY) experiments revealed the presence of two secondary methyls (C-11 and C-25), an allylic methyl (C-26), a tertiary methyl (C-12), two methylenes (C-10 and C-15), six sp<sup>3</sup>-hybridized methines (C-3, C-4, C-5, C-7, C-8 and C-16), two sp<sup>3</sup> quaternary carbons (C-6 and C-9), di- and tri-substituted ethylenes (C-13 and C-14, and C-17 and C-18), a dienone (C-19), an amide (C-1 and N-2) and a 3-substituted indole, the last feature being supported by the UV spectrum and an EIMS fragment at m/z 130, corresponding to an indole ring with a methylene. In addition, the presence of a 2,5disubstituted pyrrole moiety in 1 was assumed by the NMR signals at  $\delta_{\rm H}$  6.31 (dd, J 3.9 and 2.7 Hz), 7.02 (dd, J 3.9 and 2.7 Hz) and 10.59 (br s), and at  $\delta_{\rm C}$  109.48, 114.92, 126.79 and 138.90.<sup>15</sup> The appearance of the signals due to an sp<sup>3</sup> methine at  $\delta_{\rm H}$  3.94 and  $\delta_{\rm C}$  53.42 implied that one of the six sp<sup>3</sup> methines is linked to an amido group. This was supported by a cross peak between C-1 and 3-H in an HMBC experiment which was carried out in [<sup>2</sup>H<sub>5</sub>]pyridine because 1 is slightly soluble in CDCl<sub>3</sub> (Table 2). The signals due to a methine at  $\delta_{\rm H}$  2.88 and  $\delta_{\rm C}$  61.17 and due to an sp<sup>3</sup> quaternary carbon at  $\delta_{\rm C}$  57.21 in CDCl<sub>3</sub> indicated the presence of a trisubstituted epoxide (C-6 and C-7).

The <sup>1</sup>H–<sup>1</sup>H COSY analysis (Table 1) for the functional groups thus established led to partial structures A (C-1 to C-5, and C-10 and C-11) and B (C-6 to C-8, C-13 to C-18, and C-25 and C-26) (Fig. 1), which were supported by HMBC correlations (Table 2). The geometry of the double bonds in the partial structure B was deduced from a chemical shift ( $\delta_C$  13.52) of the carbon signal of the allylic methyl (C-26),<sup>16</sup> a coupling constant ( $J_{13,14}$  15.3 Hz) and NOEs between 26-H and 16-H, and 26-H and 25-H.

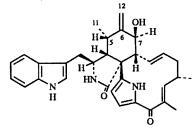
The connection of the partial structures A and B and the remaining functional groups (Fig. 1) was determined on the basis of HMBC correlations (Table 2). The typical HMBC correlations are summarised in Fig. 1. Based on this evidence, the planar structure of 1 was elucidated.



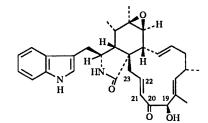




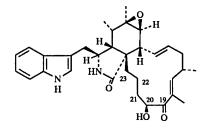
penochalasin B 2



penochalasin C 3



chaetoglobosin A 4



chaetoglobosin F 5

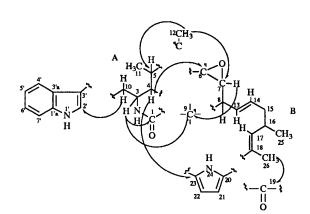


Fig. 1 Partial structures and functional groups of penochalisin A 1 and observed HMBC correlations

The relative stereochemistry and conformation for 1 were established by NOESY experiments in  $[^{2}H_{5}]$  pyridine (Table 2). The conformation and the observed key NOEs are summarised in Fig. 2. Analysis of the observed coupling constants (Table 3) in the <sup>1</sup>H NMR spectrum of 1 in  $[^{2}H_{5}]$  pyridine, using a modified Karplus equation,<sup>17</sup> led to the required dihedral angles (Table 3), which except for those between 3-H and 10-H<sub>2</sub> supported the conformation assigned on the basis of NOE experiments. Since the  $J_{3,10\alpha}$  and  $J_{3,10\beta}$  values are roughly equal, the dihedral angles between 3-H and the  $10\alpha$ - and  $10\beta$ -Hs should be nearly equal and be both ca. 60° or 120°. Selected difference NOE experiments in 1 showed nearly equal NOE values (4.5 and 4.7%, respectively) between 22-H and 10a-H and between 22-H and  $10\beta$ -H (Table 4), implying that the distance between 22-H and  $10\alpha$ -H is almost the same as that between 22-H and 10 $\beta$ -H and hence both the 3-H/10 $\alpha$ -H and 3-H/10 $\beta$ -H dihedral angles are approximately 120°. Considering the

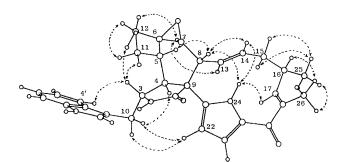


Fig. 2 Conformer 1a of penochalasin A 1 in  $[{}^{2}H_{5}]$ pyridine and observed NOEs

generalized Karplus relationship, the  $J_{3,10\alpha}$  and  $J_{3,10\beta}$  values (8.2 and 6.8 Hz) in 1 are unusually large for coupling constants between protons with a dihedral angle of 120°. It has been reported that values of vicinal coupling constants depend on the electronegativity of a substituent attached to the same carbon atom as one of the vicinally coupled protons.<sup>18</sup> It is therefore most likely that the unusual  $J_{3,10\alpha}$  and  $J_{3,10\beta}$  values in  $[^{2}H_{5}]$  pyridine are due to the formation of a hydrogen bond between 2-H and [<sup>2</sup>H<sub>5</sub>]pyridine, which is consistent with the fact that the proton signal of 2-H in [<sup>2</sup>H<sub>5</sub>]pyridine was found shifted downfield by 3.71 ppm, relative to that in CDCl<sub>3</sub>. In addition to NOEs between 22-H and 10-H<sub>2</sub>, selected difference NOE experiments around the  $10-H_2$  of 1 in  $[^2H_5]$  pyridine exhibited NOEs (2.6 and 2.8%, respectively) between 4'-H and 3-H and between 4-H and 10β-H (Table 4). In accordance with the NMR data mentioned above and listed in Tables 3 and 4, stereomodel 1a (Figs. 2 and 3) was created as a conformer of 1 in [<sup>2</sup>H<sub>5</sub>]pyridine, using the CaChe work systems. Theoretical dihedral angles and internuclear distances (in part) for 1a are shown in Tables 3 and 4.

The observed coupling constants in the <sup>1</sup>H NMR spectrum of

Table 1	<sup>1</sup> H and <sup>1</sup>	<sup>13</sup> C NMR	data of	penochalasin A	1 in CDCl <sub>3</sub>
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Position	$\delta_{\rm H}{}^a$		<sup>1</sup> H– <sup>1</sup> H COSY	$\delta_{ m C}$
 1				175.34 (q) <sup>b</sup>
	5.84s			
2 3	3.94dt	9.8 (10 $\beta$ ), 4.8 (10 $\alpha$ ), 4.0 (4)	4, 10α, 10β	53.42 (t)
4	2.68dd	4.0 (3), 3.3 (5)	3, 5	54.29 (t)
5	1.99qd	7.3 (11), 3.3 (4)	4, 11	36.29 (t)
6	•		,	57.21 (q)
7	2.88d	5.8 (8)	8	61.17 (t)
8	2.71dd	10.0 (13), 5.8 (7)	7, 13	48.46 (t)
9				50.36 (q)
10α	3.07dd	14.2 (10β), 9.8 (3)	3, 10β	35.35 (s)
β	3.15dd	$14.2(10\alpha), 4.8(3)$	3, 10a	
11	1.19d	7.3 (5)	5	13.37 (p)
12	1.30s			20.05 (p)
13	6.81ddd	15.3 (14), 10.0 (8), 1.8 (15β)	8,14	132.80 (t)
14	5.78ddd	$15.3 (13), 11.5 (15\alpha), 3.6 (15\beta)$	13, 15α	135.53 (t)
15α	2.16dt	$13.5 (15\beta), 11.5 (14, 16)$	14, 15β, 16	41.17 (s)
β	2.57dddd	$13.5 (15\alpha), 5.4 (16), 3.6 (14), 1.8 (13)$	15α, 16	(0)
16	2.88m		$15\alpha$ , $15\beta$ , $17$ , $25$	34.11 (t)
17	5.65dg	9.5 (16), 1.4 (26)	16, 26	142.69 (t)
18				134.85 (q)
19				188.47 (q)
20				126.79 (q)
21	7.02dd	3.9 (22), 2.7 (24)	22, 24	114.92 (t)
22	6.31dd	3.9 (21), 2.7 (24)	21, 24	109.48 (t)
23	0.0100	<i>((()), ((()))</i>	21, 21	138.90 (q)
24	10.59br s			10000 ( <b>4</b> )
25	1.10d	6.7 (16)	16	19.70 (p)
26	1.94d	1.4 (17)	17	13.52 (p)
1'	8.22br s		2'	(5).52 (p)
l'a	0.2201 0		2	136.54 (g)
2'	7.10d	2.3 (1')	1'	122.91 (t)
3'	7.10 <b>u</b>	2.5 (1)	•	111.33 (q)
3'a				130.10 (q)
3 u 4'	7.56d	8.0 (5')	5', 6'	118.19 (t)
5'	7.25t	8.0 (4', 6')	4', 6', 7'	122.62 (t)
6'	7.18t	8.0 (5', 7')	4', 5', 7'	120.10 (t)
0 7'	7.42d	8.0 (6')	5', 6'	111.69 (t)

<sup>a</sup> <sup>1</sup>H chemical shift values ( $\delta$  ppm from SiMe<sub>4</sub>) are followed by the multiplicity of the signals, the coupling constant (*J*/Hz) and the coupling proton in parentheses. <sup>b</sup> Letters p, s, t and q in parentheses indicate respectively primary, secondary, tertiary and quaternary carbons, assigned by DEPT.

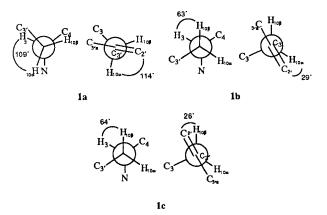


Fig. 3 Newman projection formulae of conformers la, lb and lc in the C-3–C-10 and C-10–C-3' bonds

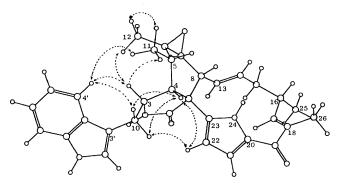


Fig. 4 Energy minimized conformer 1b of penochalasin A 1 in CDCl<sub>3</sub> and observed NOEs

1 in CDCl<sub>3</sub> (Tables 1 and 3) showed close similarities with those in [ ${}^{2}H_{5}$ ]pyridine (Table 2) except that the coupling constants between 3-H and 10 $\beta$ -H and between 3-H and 10 $\alpha$ -H are 4.8 and 9.8 Hz, respectively. Analysis of the observed coupling constants in CDCl<sub>3</sub> by the modified Karplus relationship showed dihedral angles approximate to 60 and 180° between 3-H and 10 $\beta$ -H and between 3-H and 10 $\alpha$ -H, respectively, in this case (Table 3). In selected difference NOE experiments of 1 in CDCl<sub>3</sub>, NOEs for 22-H/10 $\alpha$ -H and 3-H/10 $\beta$ -H were observed together with those for 4'-H/3-H and 4'-H/11-H, whereas no NOE for 22-H/10 $\beta$ -H and 3-H/10 $\alpha$ -H was found (Table 4). Based on this evidence, **1b** (Figs. 3 and 4) was elucidated as a conformer of 1 existing in CDCl<sub>3</sub>, which is formed from **1a** by rotation of the C-3–C-10 and C-10–C-3' axes.

The conformational behaviour of 1 was investigated by computing the potential energy surface as a function of rotational angles (x and y) for the C-3–C-10 and C-10–C-3' axes using the CaChe MM2 method. The conformational space was sampled by varying x and y in steps of 15° for the range from 0° to 360°. At each point a full geometry optimization was carried out, which yielded ten local minima. The energy for one of the minima was 124.51 kcal mol<sup>-1</sup>† and a conformer with this energy corresponded to conformer 1b in CDCl<sub>3</sub>. The energy of the lowest minimum in the energy surface was 122.98 kcal mol<sup>-1</sup> and a conformer 1c with this energy showed the 3-H/10β-H and 10β-H/C-3'–C-2' dihedral angles of -63.9 and 26.0°, respectively (Figs. 3 and 5). Though the energy (129.39 kcal mol<sup>-1</sup>) of conformer 1a in [<sup>2</sup>H<sub>5</sub>]pyridine was found to be higher

+ 1 cal = 4.184 J.

Position	$\delta_{\rm H}{}^a$		NOEs <sup>b</sup>	$\delta_{ m C}$	HMBC (C)
1				176.75 (q)°	
2 3	9.55br s		12		1, 4, 9
3	4.26ddd	$8.2(10\alpha), 6.8(10\beta), 2.2(4)$	11, 12, 4'	54.32 (t)	1, 4, 5, 9, 3'
4	2.80dd	5.2 (5), 2.2 (3)	106, 11, 22	54.32 (t)	1, 3, 5, 6, 9, 10, 23
5	1.94qd	7.2 (11), 5.2 (4)	8	36.95 (t)	3, 4, 6
6				57.27 (g)	, ,
7	3.30d	6.0 (8)	12, 13	62.10 (t)	6, 8, 9, 12, 13
7 8	3.30dd	9.5 (13), 6.0 (7)	5, 14, 24	48.11 (t)	1, 6, 7, 9, 14, 23
9			, ,	51.64 (g)	
10α	3.51dd	14.0 (10β), 8.2 (3)	22	35.65 (s)	3, 2', 3', 3'a
β	3.38dd	$14.0(10\alpha), 6.8(3)$	4,22	.,	
11	0.92d	7.2 (5)	3, 4, 12	12.84 (p)	4, 5, 6
12	1.26s		2, 3, 7, 11	19.84 (p)	5, 6, 7
13	7.18ddd	15.5 (14), 9.5 (8), 1.8 (15β)	7,17	132.97 (t)	7, 8, 9, 14, 15
14	5.89ddd	$15.5(13), 11.5(15\alpha), 3.6(15\beta)$	8, 16, 24	135.16 (t)	8,15
15α	1.86dt	13.0 (15β), 11.5 (14, 16)	17, 25	41.23 (s)	13, 14, 16, 17, 25
β	2.37dddd	$13.0(15\alpha), 5.4(16), 3.6(14), 1.8(13)$	25		13, 14, 16, 17
16	2.65m		14, 26	33.59 (t)	17, 18, 25
17	5.55dq	11.2 (16), 1.5 (26)	$13, 15\alpha, 24$	146.46 (t)	15, 16, 25, 26
18	•		. ,	135.80 (g)	
19				189.65 (g)	
20				131.65 (g)	
21	7.36dd	4.0 (22), 2.5 (24)		114.37 (t)	22, 23
22	6.77dd	4.0 (21), 2.5 (24)	4, 10α, 10β	109.53 (t)	20, 21, 23
23			<i>, ,</i> ,	139.88 (g)	, ,
24	11.06br s		8, 14, 17		20, 21, 22, 23
25	0.84d	6.7 (16)	$15\alpha$ , $15\beta$ , 26	19.42 (p)	15, 16, 17
26	1.90d	1.5 (17)	16, 25	12.84 (p)	17, 18, 19
1′	12.08br d	2.5 (2')	7'	<b>`1</b> <i>'</i>	1'a, 2', 3', 3'a
1'a				137.76 (g)	, , , ,
2' 3'	7.49d	2.5 (1')		124.74 (t)	1'a, 3'a, 3', 10
3'				111.67 (g)	, , , ,
3'a				128.44 (q)	
4′	7.85d	7.4 (5')	3	118.83 (t)	1'a, 3', 6'
5'	7.30td	7.4 (4′, 6′), 1.5 (7′)		119.60 (t)	3'a, 7'
6'	7.35t	7.4 (5', 7')		121.98 (t)	1'a, 4'
7'	7.65dd	7.4 (6'), 1.5 (5')	1′	112.32 (t)	5', 3'a

<sup>*a* 1</sup>H chemical shift values ( $\delta$  ppm from SiMe<sub>4</sub>) are followed by the multiplicity of the signals, the coupling constant (*J*/Hz) and the coupling proton in parentheses. <sup>*b*</sup> Observed in the NOESY experiment. <sup>*c*</sup> Letters p, s, t and q in parentheses indicate respectively primary, secondary, tertiary and quaternary carbons, assigned by DEPT.

Table 3	Experimental and	theoretical coupling constants	and dihedral angles in compound 1

	[ <sup>2</sup> H <sub>5</sub> ]py	ridine	1a	CDCl <sub>3</sub>		1b
Atom numbers	J (Hz)	Dihedral angles (°) <sup>a</sup>	Dihedral angles (°) <sup>b</sup>	J (Hz)	Dihedral angles (°) <sup>a</sup>	Dihedral angles (°) <sup>b</sup>
3-H/4-H	2.2	114.9	125.8	4.0	124.6	127.0
3-H/10α-H	8.2	35.6 or 144.4	109.2	9.8	152.8	177.1
3-Н/10β-Н	6.8	42.2 or 135.5	-133.0	4.8	51.5	-63.2
4-H/5-H	5.2	49.6	50.2	3.3	58.9	51.8
7-H/8-H	6.0	134.1	152.7	5.8	133.2	153.6
8-H/13-H	9.5	151.1	166.6	10.0	153.9	165.6
13-H/14-H	15.5	180.0°	- 179.7	15.3	180.0°	-179.8
14-H/15a-H	11.5	164.4	178.2	11.5	164.4	178.8
14-H/15β-H	3.6	57.4	-64.8	3.6	57.4	-64.1
15α-H/16-H	11.5	164.4	172.8	11.5	164.4	172.7
15β-H/16-H	5.4	48.7	55.2	5.4	48.7	55.0
16-H/17-H	11.2	161.9	152.1	9.5	151.1	150.8
10a-H/C-3'-C-2'			113.7			-29.0
10β-H/C-3'-C-2'			-0.5			- 146.8
C-8-C-9/C-23-N-24			-5.7			-4.0
C-18-C-19/C-20-N-24			- 3.8			-4.8

<sup>a</sup> Calculated by a modified Karplus equation  $(J = 12.4 \cos^2 \varphi; 0^\circ \le \varphi \le 180^\circ)$ .<sup>17 b</sup> Theoretical. <sup>c</sup> Deduced from a *trans* coupling constant in an olefin.

than that of the minimum energy conformers in the CaChe MM2 calculations, it seems most likely that the energy is actually in lower levels due to other factors such as the solvent effect in  $[^{2}H_{5}]$ pyridine.

established independently, but is assumed to be the same as for its co-metabolites, chaetoglobosins A 4 and F 5.

Penochalasin C 3 had the same molecular formula as 1 as deduced from HREIMS. The general features of its UV, IR and NMR spectra (Table 5) closely resembled those of 1 (Table 1)

The absolute configuration assigned to 1 has not been

Table 4 Experimental NOE values in compound 1 and theoretical distances in conformers 1a and 1b

	1 ([ <sup>2</sup> H <sub>3</sub> ]pyridine)	1a	1 (CDCl <sub>3</sub> )	1b	
		Distances	T(CDCl <sub>3</sub> )	Distances	
Atom numbers	NOEs	(Å)	NOEs	(Å)	
3-H/10a-H	≈0	2.86	≈0	3.10	
3-Η/10β-Η	≈0	2.98	2.2 (3-H), 5.6 (10β-H)	2.57	
3-H/11-H	2.8 (3-H), 2.5 (11-H) <sup>a</sup>	2.21	3.6 (3-H), 8.6 (11-H)	2.21	
3-H/12-H	3.0 (3-H)	2.21	4.9 (3-H)	2.17	
4-H/10a-H	≈0	3.42	$2.5(4-H)$ , $2.8(10\alpha-H)$	2.54	
4-Η/10β-Η	1.9 (4-H), 2.8 (10β-H)	2.16	3.1 (4-H), 4.4 (10β-H)	2.65	
11-H/12-H	2.4 (11-H)	2.46	<sup>b</sup>	2.55	
22-H/4-H	2.6 (22-H), 2.3 (4-H)	2.73	3.3 (22-H), 4.0 (4-H)	2.78	
22-H/10α-H	2.9 (22-H), 4.5 (10x-H)	2.92	$2.2(22-H), 5.9(10\alpha-H)$	2.25	
22-Η/10β-Η	4.0 (22-Η), 4.7 (10β-Η)	2.56	≈0	3.84	
4'-H/3-H	1.2 (4'-H), 2.6 (3-H)	2.50	3.1 (4'-H), 4.9 (3-H)	2.86	
4'-Η/10β-Η	≈0	4.20	3.5 (4'-H), 5.4 (10β-H)	2.51	
4'-H/11-H	≈0	4.12	0.4 (4'-H), 5.3 (11-H)	2.64	
4'-H/12-H	≈0	3.56	≈0	4.06	

<sup>a</sup> Protons irradiated in parentheses. <sup>b</sup> The NOE could not be evaluated because the signals for 11-H and 12-H are close together.

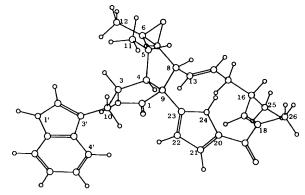


Fig. 5 Lowest minimum energy conformer 1c

except that the signals for 5-H, 7-H, 12-H, C-1, C-5, C-6, C-7, C-12 and C-14 revealed chemical shifts different from those of 1. The typical <sup>13</sup>C NMR signals for an epoxide at  $\delta_C$  57.21 (C-6) and 61.17 (C-7) in 1 were replaced by those due to a sp<sup>2</sup> quaternary carbon and a hydroxymethine at  $\delta_C$  147.92 and 68.62 in 3, respectively. The methine proton doublet (7-H,  $\delta_H$  4.02) coupled to 8-H was sharpened by D<sub>2</sub>O exchange, implying that it is due to a hydroxymethine. In addition, the C-12 methyl proton singlet ( $\delta_H$  1.30) in 1 was missing from 3 and replaced by the typical signals due to a terminal methylene at  $\delta_H$  5.25 and 5.48 in 3. This was supported by the <sup>13</sup>C NMR signals ( $\delta_C$  142.92 and 114.62) for C-6 and C-12. The above summarised evidence led to planar structure 3 for penochalasin C.

Penochalasin B 2 was assigned the same molecular formula as 1 and 3 as deduced from HREIMS. Its IR, UV and NMR spectra (Table 5) showed close correspondence with those of 1 (Table 1) except that the <sup>1</sup>H NMR signal of 5-H in 1 disappeared from 2 and the signals for 7-H, 11-H, 12-H, C-4-C-7, C-9, C-12, C-14 and C-17 in 2 were found shifted downfield, relative to those of 1. The appearance of two allylic methyl proton singlets (11-H and 12-H) at  $\delta_{\rm H}$  1.77 and 1.66 and two quaternary carbon signals (C-5 and C-6) at  $\delta_{\rm C}$  127.23 and 131.48 implied the presence of a tetrasubstituted double bond linked to two methyls in 2, and the methine proton doublet (7-H) coupled to 8-H was assigned as a hydroxymethine on the basis of its chemical shift ( $\delta_{\rm H}$  3.98) and the fact that the signal was sharpened by D<sub>2</sub>O exchange. These observations allowed assignment of planar structure 2 to penochalasin B.

Treatment of 1 with 2% HCl in MeOH-CH<sub>2</sub>Cl<sub>2</sub> (2:1) afforded 2 and 3 in a ratio of 2:1. Based on this finding, the absolute stereostructures of penochalasins B and C are represented as 2 and 3, respectively. These were supported by the observed coupling constants in 2 and 3.

The cytotoxic activities of penochalasins A 1, B 2 and C 3 were examined in the P388 lymphocytic leukaemia test system in cell cultures, according to the method reported previously.<sup>19</sup> Compounds 1, 2, 3, 4 and 5 all exhibited potent cytotoxic activity ( $ED_{50}$  0.4, 0.3, 0.5, 0.6 and 0.9 µg cm<sup>-3</sup>, respectively).

# Experimental

# **General procedures**

Mps were obtained on a Yanagimoto micromelting point apparatus and are uncorrected. UV spectra were recorded on a Shimadzu spectrophotometer and IR spectra on a Perkin Elmer FT-IR spectrometer 1720X. Optical rotations were obtained on a JASCO ORD/UV-5 spectropolarimeter and are given in units of 10<sup>-1</sup> deg cm<sup>2</sup> g<sup>-1</sup>. CD spectra were recorded on a JASCO J-500A spectrometer. NMR spectra were recorded at 27 °C on a Varian XL-300 spectrometer, operating at 300 and 75.4 MHz for <sup>1</sup>H and <sup>13</sup>C, respectively, with tetramethylsilane (TMS) as an internal reference. The <sup>1</sup>H-<sup>1</sup>H and <sup>1</sup>H-<sup>13</sup>C COSY spectra were recorded on a Varian XL-300 spectrometer, and the HMBC and NOESY spectra on a Bruker DMX spectrometer with the usual parameters. EIMS were determined using a Hitachi M-80 spectrometer. Liquid chromatography over silica gel (mesh 230-400) was performed under medium pressure. HPLC was run on a Waters ALC-200 instrument equipped with a differential refractometer (R 401) and Shim-pack PREP-ODS (25 cm  $\times$  20 mm id). Analytical TLC was performed on precoated Merck aluminium sheets (DC-Alufolien Kieselgel 60 F254, 0.2 mm) with the solvent system CH<sub>2</sub>Cl<sub>2</sub>-MeOH (19:1), and compounds were viewed under a UV lamp and sprayed with 10% H<sub>2</sub>SO<sub>4</sub> followed by heating. MM2 calculations were carried out using the CaChe work system on a Macintosh platform.

### Culturing and isolation of metabolites

A strain of *Penicillium* sp. was initially separated from the marine alga *Enteromorpha intestinalis* (Linne) Link (Ulvaceae) collected from Tanabe Bay, Japan. The marine alga was homogenized with sterile artificial seawater and applied to the surface of nutrient agar layered in a Petri dish. Serial transfers of one of the resulting colonies provided a pure strain of *Penicillium* sp. The fungal strain was grown in a liquid medium (20 dm<sup>3</sup>) containing 2% glucose, 1% peptone and 0.5% yeast extract in artificial seawater adjusted to pH 7.5 for 3 weeks at 27 °C. The culture was filtered under suction and the mycelium which was filtered off was extracted (3 ×) with MeOH. The combined extracts were evaporated under reduced pressure and the resulting concentrate (63 g) was passed through Sephadex

Table 5	<sup>1</sup> H and <sup>13</sup> C NMR	data of penochalasins	<b>B 2</b> and C 3 in $CDCl_3$
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	2		3			
Position	$\delta_{H}{}^{a}$		$\delta_{\rm c}$	$\delta_{\rm H}$	$\delta_{c}$	
1		· · · · · · · · · · · · · · · · · · ·	175.25 (q) <sup>b</sup>			169.87 (q)
2	5.75br s			5.80br s		
3	3.61ddd	9.0 (10 $\alpha$ ), 5.8 (10 $\beta$ ), 2.5 (4)	53.91 (t)	3.54dt	$10.2 (10\alpha), 4.0 (4, 10\beta)$	53.16 (t)
4	3.00br d	2.5 (3)	60.23 (t)	2.75t	4.0 (3, 5)	53.00 (t)
			127.23 (g)	2.98qd	6.5 (11), 4.0 (4)	32.32 (t)
5 6 7			131.48 (q)	•		147.92 (q)
7	3.98br d	10.0 (8)	67.47 (t)	4.02br d	10.0 (8)	68.62 (t)
8	2.64t	10.0 (7, 13)	47.71 (t)	3.05t	10.0 (7, 13)	49.82 (t)
9	2.0.0		53.68 (g)			49.82 (q)
10a	3.22dd	14.0 (10β), 9.0 (3)	34.35 (s)	2.98dd	14.0 (10β), 10.2 (3)	34.85 (s)
β	3.24dd	$14.0 (10\alpha), 5.8 (3)$		3.16dd	$14.0 (10\alpha), 4.0 (3)$	
11	1.77s	1.10 (100), 010 (0)	13.05 (p)	1.24d	6.5 (11)	15.10 (p)
12	1.66s		17.87 (p)	5.25s		114.62 (s)
12	1.005		····· (P)	5.48s		
13	6.69dd	15.5 (14), 10.0 (8), 1.6 (15β)	132.06 (t)	6.67ddd	15.5 (14), 10.0 (8), 1.6 (15β)	132.63 (t)
14	5.74ddd	$15.5 (13), 11.5 (15\alpha), 3.2 (15\beta)$	137.94 (t)	5.82ddd	$15.5(13), 11.5(15\alpha), 3.2(15\beta)$	138.08 (t)
15α	2.17dt	13.5 (15 <sup>β</sup> ), 11.5 (14, 16)	41.35 (s)	2.19dt	13.5 (15β), 11.5 (14, 16)	41.27 (s)
β	2.60dddd	$13.5 (15\alpha), 4.8 (16), 3.2 (14), 1.6 (13)$		2.61dddd	$13.5(15\alpha), 4.8(16), 3.2(14), 1.6(13)$	
16	2.85m	1515 (164), 115 (16), 512 (17), 115 (17)	33.93 (t)	2.91m		34.09 (t)
17	5.61dg	9.0 (16), 2.0 (26)	145.10 (t)	5.68 dg	9.4 (16), 1.8 (26)	142.07 (t)
18	oloraq	>10 (10); 110 (20)	135.13 (q)			135.08 (q)
19			189.49 (g)			188.04 (q)
20			126.81 (q)			126.90 (g)
21	6.97dd	3.9 (22), 2.7 (24)	114.46 (t)	7.02dd	3.9 (22), 2.7 (24)	115.07 (t)
22	6.41dd	3.9 (21), 2.7 (24)	109.47 (t)	6.18dd	3.9 (21), 2.7 (24)	109.17 (t)
23	0.1144	5.5 (21); 2.7 (23)	138.50 (q)			139.81 (q)
24	9.89br s		100100 (4)	10.78br s		
25	1.12d	7.0 (16)	19.52 (p)	1.10d	7.0 (16)	19.78 (p)
26	1.95d	2.0 (17)	13.77 (p)	1.95d	2.0 (17)	13.68 (p)
20 7-ОН	1.90br s	2.0 (17)	10117 (P)	2.00br s	2.0 (17)	10100 (P)
1'	8.13br s			8.21br s		
1'a	0.1501 5		136.45 (q)	0.21010		136.51 (g)
2'	7.09d	2.0 (1')	122.57 (t)	7.09d	2.3 (1')	122.86 (t)
3'			111.53 (q)	,, <b>u</b>	(- )	111.47 (q)
3'a			130.88 (q)			129.77 (q)
4'	7.50dd	8.0 (5'), 1.0 (6')	118.41 (t)	7.55dd	8.0 (5'), 1.0 (6')	118.44 (t)
<del>-</del> 5'	7.21td	8.0 (4', 6'). 1.0 (7')	122.57 (t)	7.25td	8.0 (4', 6'), 1.0 (7')	122.60 (t)
6'	7.15td	8.0 (5', 7'), 1.0 (4')	119.91 (t)	7.15td	8.0 (5', 7'), 1.0 (4')	119.99 (t)
7'	7.39dd	8.0 (6'), 1.0 (5')	111.69 (t)	7.40dd	8.0 (6'), 1.0 (5')	111.62 (t)

<sup>a</sup> <sup>1</sup>H chemical shift values ( $\delta$  ppm from SiMe<sub>4</sub>) are followed by the multiplicity of the signals, the coupling constant (*J*/Hz) and the coupling proton in parentheses. <sup>b</sup> Letters p, s, t and q in parentheses indicate respectively primary, secondary, tertiary and quaternary carbons, assigned by DEPT.

LH-20, using MeOH–CH<sub>2</sub>Cl<sub>2</sub> (1:2) as the eluent. The third fraction (28.2 g) was chromatographed on a silica gel column with a CH<sub>2</sub>Cl<sub>2</sub>–MeOH gradient system as the eluent. The MeOH–CH<sub>2</sub>Cl<sub>2</sub> (1:199) eluate (659 mg) was purified by HPLC [MeOH–water (4:1)] to afford compounds 1 (14.4 mg), 2 (9.6 mg), 3 (4.9 mg), 4 (10.2 mg) and 5 (9.1 mg), of which the last two were identified by comparison of their UV, IR and <sup>1</sup>H and <sup>13</sup>C NMR data with those reported previously.<sup>10–13</sup>

**Penochalasin A 1.** Obtained as colourless needles, mp 222– 224 °C (from acetone),  $[\alpha]_{D}$  -10 (*c* 0.20 in CHCl<sub>3</sub>);  $\lambda_{max}$ (EtOH)/nm 206 (log  $\varepsilon$  4.58), 222 (4.66), 285 (4.40), 292 (4.09) and 313 (4.13);  $\nu_{max}$ (KBr)/cm<sup>-1</sup> 3359 (NH), 1690 (CON, C=C-C=O), 1623 (Ar-C-C); *m*/*z* (EI) 509 (33%, M<sup>+</sup>), 442 (5), 380 (13), 243 (19), 202 (15), 171 (22), 131 (38) and 130 (100, C<sub>9</sub>H<sub>8</sub>N); [*m*/*z* (HREI) Found: M<sup>+</sup>, 509.2677. C<sub>32</sub>H<sub>35</sub>N<sub>3</sub>O<sub>3</sub> requires *M*, 509.2677]; CD  $\lambda$ (*c* 9.00 × 10<sup>-5</sup> mol dm<sup>-3</sup> in EtOH)/nm 225 ( $\Delta\varepsilon$  +1.7), 233 (0), 240 (-1.1), 247 (0), 263 (+2.0), 295 (+3.4), 317 (0), 338 (-3.0) and 397 (0). <sup>1</sup>H and <sup>13</sup>C NMR data are listed in Tables 1 and 2.

**Penochalasin B 2.** Obtained as a colourless powder, mp 177– 179 °C,  $[\alpha]_D$  – 6.2 (*c* 0.2 in CHCl<sub>3</sub>);  $\lambda_{max}$ (EtOH)/nm 206 (log  $\varepsilon$ 4.10), 222 (4.10), 284 (4.08), 293 (4.50) and 312 (4.45);  $\nu_{max}$ (KBr)/cm<sup>-1</sup> 3410 (NH, OH), 1693 (CON, C=C-C=O) and 1628 (Ar-C-C); *m*/*z* (EI) 509 (33%, M<sup>+</sup>), 491 (4), 380 (6), 379 (8), 362 (4), 361 (4), 306 (5), 305 (4), 131 (67) and 130 (100, C<sub>9</sub>H<sub>8</sub>N); [*m*/*z* (HREI) Found: M<sup>+</sup>, 509.2686. C<sub>32</sub>H<sub>35</sub>N<sub>3</sub>O<sub>3</sub> requires M, 509.2677]; <sup>1</sup>H and <sup>13</sup>C NMR data are listed in Table 2.

**Penochalasin C 3.** Obtained as a colourless powder, mp 173– 178 °C,  $[\alpha]_D$  – 6.2 (c 0.1 in CHCl<sub>3</sub>);  $\lambda_{max}$ (EtOH)/nm 208 (log  $\varepsilon$ 4.50), 222 (4.59), 284 (3.92), 293 (3.96) and 315 (3.87);  $\nu_{max}$ (KBr)/cm<sup>-1</sup> 3371 (NH, OH), 1693 (CON, C=C-C=O), 1628 (Ar-C-C); m/z (EI) 509 (33%, M<sup>+</sup>), 380 (1), 243 (3), 131 (36) and 130 (100, C<sub>9</sub>H<sub>8</sub>N); [m/z (HREI) Found: M<sup>+</sup>, 509.2668. C<sub>32</sub>H<sub>35</sub>N<sub>3</sub>O<sub>3</sub> requires *M*, 509.2677]; <sup>1</sup>H and <sup>13</sup>C NMR data are listed in Table 2.

Formation of Penochalasins B 2 and C 3 from Penochalasin A 1 A solution of 10% aqueous HCl (0.1 cm<sup>3</sup>) was added to a solution of 1 (4.0 mg) in CH<sub>2</sub>Cl<sub>2</sub> and MeOH (1:2; 0.4 cm<sup>3</sup>). The reaction mixture was stirred for 30 min at room temp. after which it was diluted with water, neutralised with aqueous NH<sub>3</sub> and extracted with CH<sub>2</sub>Cl<sub>2</sub>. Evaporation of the extract under reduced pressure followed by HPLC (ODS) using MeOHwater (4:1) afforded 2 (2.1 mg) and 3 (1.2 mg), which were identified by comparison with authentic samples.

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